

FORM PTO-1449		Atty. Docket No.: 1151.110601	Serial No.: 09/963,777
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Bruce J. Perrson et al.	
		Filing Date:	Group Art: :
		September 26, 2001	3762



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
JPO	AA 2001/0020177 A1	09/06/01	Gruzdowich et al.	—	—	01/22/2001
	DK RE 30,366	08/12/80	Rasor et al.	—	—	
	AB 3,645,267	02/29/72	Hagfors	—	—	
	AC 3,650,277	03/21/72	Sjostrand et al.	—	—	
	AD 4,014,318	03/29/77	Dockum et al.	—	—	
	AE 4,256,094	03/17/81	Kapp et al.	—	—	
	AF 4,331,157	05/25/82	Keller, Jr. et al.	—	—	
	AG 4,525,074	06/25/85	Murakami	—	—	
	AH 4,551,862	11/12/85	Haber	—	—	
	AI 4,586,501	05/06/86	Claracq	—	—	
	AJ 4,640,286	02/03/87	Thomson	—	—	
	AK 4,682,583	07/28/87	Burton et al.	—	—	
	AL 4,702,254	10/27/87	Zabara	—	—	
	AM 4,709,690	12/01/87	Habor	—	—	
	AN 4,739,762	04/26/88	Palmaz	—	—	
	AO 4,762,130	08/09/88	Fogarty et al.	—	—	
	AP 4,762,820	08/09/88	Gavrus	—	—	
	AQ 4,770,177	09/13/88	Schroeppel	—	—	
	AR 4,791,931	12/20/88	Slate	—	—	
	AS 4,800,882	01/31/89	Gianturco	—	—	
	AT 4,803,988	02/14/89	Thomson	—	—	
	AU 4,813,418	03/21/89	Harris	—	—	
	AV 4,828,544	05/09/89	Lane et al.	—	—	
	AW 4,860,751	08/29/89	Callaghan	—	—	
	AX 4,867,164	09/19/89	Zabara	—	—	
✓	AY 4,881,939	11/21/89	Newman	—	—	

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AZ	4,886,062	12/12/89	Wiktor			
BA	4,887,028	12/19/89	Mohl et al.			
BB	4,917,092	04/17/90	Todd et al.			
BC	4,969,458	11/13/90	Wiktor			
BD	5,025,807	06/25/91	Zabara			
BE	5,078,736	01/07/92	Behl			
BF	5,113,869	05/19/92	Nappholz et al.			
BG	5,117,826	06/02/92	Bartelt et al.			
BH	5,170,802	12/15/92	Mehra			
BI	5,181,911	01/26/93	Shturman			
BJ	5,199,428	04/06/93	Obel et al.			
BK	5,222,971	06/29/93	Willard et al.			
BL	5,295,959	03/22/94	Gurbel et al.			
BM	5,299,569	04/04/94	Wernicke et al.			
BN	5,304,206	04/19/94	Baker, Jr. et al.			
BO	5,314,453	05/24/94	Jeutter			
BP	5,318,592	06/07/94	Schaldach			
BQ	5,330,507	07/19/94	Schwartz			
BR	5,330,515	07/19/94	Rutecki et al.			
BS	5,458,626	10/17/95	Krause			
BT	5,509,888	04/23/96	Miller			
BU	5,522,854	06/04/96	Ideker et al.			
BV	5,529,067	06/25/96	Larsen et al.			
BW	5,531,779	06/04/96	Dahl et al.			
BX	5,535,752	07/16/96	Halperin et al.			
BY	5,540,734	07/30/96	Zabara			
BZ	5,545,132	08/13/96	Fagan et al.			
CA	5,575,809	11/19/96	Sasaki			
CB	5,578,061	11/26/96	Stroetmann et al.			
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CE	5,690,681	11/25/97	Geddes et al.			
CF	5,692,882	12/02/97	Bozeman, Jr. et al.			
CG	5,695,468	12/09/97	Lafontaine et al.			

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30	CH	5,700,287	12/23/97	Zabara	—	—	
	CI	5,707,400	01/15/98	Terry, Jr. et al.	—	—	
	CJ	5,715,837	02/10/98	Chen	—	—	
	CK	5,725,471	03/10/98	Davey et al.	—	—	
	CL	5,725,563	03/10/98	Klotz	—	—	
	CM	5,727,558	03/17/98	Hakki et al.	—	—	
	CN	5,741,316	04/21/98	Chen et al.	—	—	
	CO	5,800,464	09/01/98	Kieval	—	—	
	CP	5,807,258	09/15/98	Cimochowski et al.	—	—	
	CQ	5,814,079	09/29/98	Kieval	—	—	
	CR	5,824,021	10/20/98	Rise	—	—	
	CS	5,876,422	03/02/99	van Groeningen	—	—	
	CT	5,891,181	04/06/99	Zhu	—	—	
	CU	5,913,876	06/22/99	Taylor et al.	—	—	
	CV	5,916,239	06/29/99	Geddes et al.	—	—	
	CW	5,928,272	07/27/99	Adkins et al.	—	—	
	CX	5,967,986	10/19/99	Cimochowski et al.	—	—	
	CY	5,967,989	10/19/99	Cimochowski et al.	—	—	
	CZ	5,987,352	11/16/99	Klein et al.	—	—	
	DA	6,006,134	12/21/99	Hill et al.	—	—	
✓	DB	6,023,642	02/08/00	Shealy et al.	—	—	
	DC	6,050,952	04/18/00	Hakki et al.	—	—	
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	DE	6,058,331	05/02/00	King	—	—	
	DF	6,061,596	05/09/00	Richmond et al.	—	—	
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	DI	6,077,298	06/20/00	Tu et al.	—	—	
	DJ	6,161,029	12/12/00	Spreigl et al.	—	—	
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	DM	6,292,695 B1	09/18/01	Webster, Jr. et al.	—	—	

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JPO	DO	WO 99/42039	08/26/99	PCT	—	—	
JPO	DP	WO 99/42176	08/26/99	PCT	—	—	
JPO	DQ	WO 97/18856	05/29/97	PCT	—	—	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

JPO	DR	U.S. Patent Application Serial No. 09/963,991, filed September 26, 2001, entitled "Mapping Methods for Cardiovascular Reflex Control Devices", to Robert S. Kieval et al.
JPO	DS	U.S. Patent Application Serial No. 09/964,079, filed September 26, 2001, entitled "Stimulus Regimens for Cardiovascular Reflex Control" to Robert S. Kieval et al.
JPO	DT	"Cardiovascular Reflexes From Ventricular & Coronary Receptors", <u>Adv. Exp. Med. Biol.</u> , 1995, 381:157-74.
JPO	DU	"Non-Invasive Heat-Delivery To Arterial Stented Segments In Vivo: Effect of Heat on Intimal Hyperplasia", <u>JACC</u> , 1041-89, February 2000.
JPO	DV	"The Haemodynamic Basis of Anginal Relief Produced By Stimulation of the Carotid Sinus Nerve", <u>Acta Medica Academiae Scientiarum Hungaricae</u> , 30 (1-2), pp. 61-65, 1973.
JPO	DW	Correspondence, <u>The New England Journal of Medicine</u> , Vol. 281, July 3, 1969, No. 2. 1 PAGE
JPO	DX	"Carotid Sinus Stimulation For the Treatment of Angina Pectoris", <u>Official Journal of the Calif. Medical Assoc.</u> , Vol. 112, No. 3, pps. 78-79, March 1970.
JPO	DY	"Circulatory Effects of Carotid Sinus Stimulation & Changes in Blood Volume Distribution in Hypertensive Man", <u>Acta. Physiol. Scand.</u> , 1981, 111:299-306, March 1981.
JPO	DZ	"Surgical Treatment of Hypertension with Reference to Baropacing", <u>The Amer. Jour. Of Cardiology</u> , Vol. 17, May 1966, pps. 663-667. BILQUAY ET AL.
JPO	EA	"Baropacing of the Carotid Sinus Nerve for Treatment of Intractable Hypertension", <u>Zeitschrift Für Kardiologie</u> , band 64, Heft 4, pps. 368-374, 1975.
JPO	EB	"Laryngospasm Induced by a Carotid-Sinus-Nerve Stimulator", <u>The New England Journ. Of Med.</u> , No. 13, pps. 709-710, undated, admitted prior art.
JPO	EC	"Fine Structure of Baroreceptor Terminals in the Carotid Sinus of Guinea Pigs & Mice", <u>Cell & Tissue Research</u> , 170, pps. 95-112, 1976. BOCK ET AL.
JPO	ED	"Structure of Rat Aortic Baroreceptors & Their Relationship to Connective Tissue", <u>Journal of Neurocytology</u> , pps. 401-414, 1979.
JPO	EE	"Baroreflex Anatomy", <u>Human Baroreflexes in Health & Disease</u> , pps. 19-30, 1992.
JPO	EF	"Implantation of a Carotid Sinus Nerve Stimulator", <u>AORN Journal</u> , pps. 53-56, December 1969.
JPO	EG	"The Treatment of Heart Disease", <u>Heart Disease</u> , Earl N. Silber, 2 nd Edition, MacMillan Publishing Co., pg. 1642, 1987.
JPO	EH	"Stimulation of the Carotid Baroreceptors Using a Radio-Frequency Method", <u>Israel J. Med. Sci.</u> , Vol. 1, No. 4, July 1965, pps. 630-632.
JPO	EI	"Studies on the Carotid Body & the Carotid Sinus Effects on the Heart by Electrical Stimulation of the Carotid Sinus Wall", <u>Jap. Heart J.</u> , Vol. 13, No. 2, March 1972, pps. 136-149 ИТОИ, КОДЖИ
JPO	EJ	"Abstracts of the 40 th Scientific Sessions", Supplement II to <u>Circulation</u> , Vols. XXXV & XXXVI, October 1967, II-253, 1 sheet.
JPO	EK	Transvenous parasympathetic nerve stimulation in the inferior vena cava and atrioventricular conduction", <u>J. Cardiovasc. Electrophysiol.</u> , January 2000, 1 page.
JPO	EL	"New Technique for Vagal Nerve Stimulation", <u>Journal of Neuroscience Methods</u> , 1999, pp. 109-114.
JPO	EM	"Clinical Experience with a Helical Bipolar Stimulating Lead", <u>PACE</u> , Vol. 15, Part II, October 1992, pp. 1545-1556.

EXAMINER:

James P. Oropaya

DATE CONSIDERED:

2/10/04 & 10/29/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.:	Serial No.:
	1151.1106101	09/963,777
	Applicant: Bruce J. Perrson et al.	
	Filing Date	Group Art:
	September 26, 2001	3762

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
JO	AA	09/963,991	Kieval et al.	—	—	09/26/2001
	AB	09/964,079	Kieval et al.	—	—	09/26/2001
	AC	4,481,953	Gold et al.	—	—	
	AD	4,531,943	Van Tassel et al.	—	—	
	AE	4,641,664	Botvidsson	—	—	
	AF	4,664,120	Hess	—	—	
	AG	4,825,871	Cansell	—	—	
	AH	4,830,003	Wolff et al.	—	—	
	AI	4,960,133	Hewson	—	—	
	AJ	5,224,491	Mehra	—	—	
✓	AK	5,259,394	Bens	—	—	

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APR 26 2002

Document No.	Date	Country	Class	Sub Class	Translation Yes No
AJ					
AK					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

JO	AN	Bilgutay, A.M., et al., "Baropacing, a New Concept in the Treatment of Hypertension", from Baroreceptors and Hypertension Proceedings of an International Symposium, November, 1965, Pg 425-437
	AO	
EXAMINER: <i>Frances P. Owsen</i>		DATE CONSIDERED: 2/10/04

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Application Number	09/963,777
Filing Date	September 26, 2001
First Named Inventor	Robert S. Kieval et al.
Art Unit	3762
Examiner Name	Unassigned
Attorney Docket Number	021433-000120US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JPO	AA	3,522,811	08-04-1970	Schwartz et al.	
	AB	4,323,073	04-06-1982	Ferris	
	AC	4,719,921	01-19-1988	Chirife	
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	AE	5,351,394	10-04-1994	Weinberg	
	AF	5,411,540	05-02-1995	Edell et al.	
	AG	5,571,150	11-05-1998	Wernicke et al.	
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	AJ	6,016,449	01-18-2000	Fishell et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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Application Number

09/963,777

Filing Date

September 26, 2001

First Named Inventor

Kieval

Art Unit

3762

Examiner Name

Unassigned

Attorney Docket Number

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JPO	1	3,943,936	03-16-1976	RASOR et al.	
	2	5,215,089	06-03-1993	BAKER, Jr.	
	3	5,540,735	07-30-1996	WINGROVE	
	4	5,545,202	08-13-1996	DAHL et al.	
	5	5,766,236	06-16-1998	DETTY et al.	
	6	5,861,015	01-19-1999	BENJA-ATHON	
	7	6,438,428	08-20-2002	AXELGAARD et al.	
	8	6,564,101	05-31-2003	ZIKRIA	
	9	2002/0005982	01-17-2002	BORLINGHAUS	
	10	2002/0103516	08-01-2002	PATWARDHAN et al.	
	11	2002/0151051	10-17-2002	LI	
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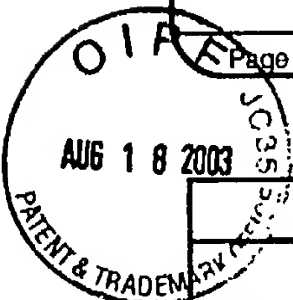
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Application Number	09/963,777
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First Named Inventor	Kieval
Art Unit	3762
Examiner Name	Unassigned
Attorney Docket Number	021433-000120US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JPO	13	Brattstrom, A., Influence of Continuous and Intermittent (R-Wave Triggered) Electrical Stimulation of the Carotid Sinus Nerve on the Static Characteristic of the Circulatory Regulator, Experientia 28:414-416, 1972.	
	14	Peters et al., The Principle of Electrical Carotid Sinus Nerve Stimulation: A Nerve Pacemaker System for Angina Pectoris and Hypertension Therapy, Annals of Biomedical Engineering, 8:445-458, 1980.	
	15	Peters et al., Cardiovascular response to time delays of electrocardiogram-coupled electrical stimulation of carotid sinus nerves in dogs, Journal of the Autonomic Nervous Systems, 25:173-180, 1988.	
	16	Richter et al., The Course of Inhibition of Sympathetic Activity during Various Patterns of Carotid Sinus Nerve Stimulation, Pflugers Arch., 317:110-123, 1970.	
	17	Sedin, Gunnar, Responses of the Cardiovascular System to Carotid Sinus Nerve Stimulation, Upsala J Med Sci, 81:1-17, 1976.	
	18	Warzel et. al., Effects of carotis sinus nerve stimulation at different times in the respiratory and cardiac cycles on variability of heart rate and blood pressure of normotensive and renal hypertensive dogs, Journal of the Autonomic Nervous System, 26:121-127, 1989.	
	19	Warzel et. al., The Effect of Time of Electrical Stimulation of the Carotid Sinus on the Amount of Reduction in Arterial Pressure, Pflugers Arch., 337:39-44, 1972.	

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09/983,777

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September 26, 2001

First Named Inventor

Kieval

An Unit

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Examiner Name

Unassigned

Attorney Docket Number

021433-000120US

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Examiner	Cite No.	Document Number Number and Date (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	2	5,215,089	08-03-1993	BAKER, Jr.	
	3	5,540,735	07-30-1996	WINGROVE	
	4	5,545,202	08-13-1996	DAHL et al.	
	5	5,766,236	06-16-1998	DETTY et al.	
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	7	6,438,428	08-20-2002	AXELGAARD et al.	
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	12	2003/0040785	02-27-2003	MASCHINO et al.	

FOREIGN PATENT DOCUMENTS

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PTO/SB/08B (04-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/983,777
		Filing Date	September 26, 2001
		First Named Inventor	Kieval
		Art Unit	3762
		Examiner Name	Unassigned
		Attorney Docket Number	021433-000120US
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
JPO	13	Brattstrom, A., Influence of Continuous and Intermittent (R-Wave Triggered) Electrical Stimulation of the Carotid Sinus Nerve on the Static Characteristic of the Circulatory Regulator, Experientia 28:414-416, 1972.	
	14	Peters et al., The Principle of Electrical Carotid Sinus Nerve Stimulation: A Nerve Pacemaker System for Angina Pectoris and Hypertension Therapy, Annals of Biomedical Engineering, 8:445-458, 1980.	
	15	Peters et al., Cardiovascular response to time delays of electrocardiogram-coupled electrical stimulation of carotid sinus nerves in dogs, Journal of the Autonomic Nervous Systems, 25:173-180, 1988.	
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